

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DEP 3 REF
Room 307

In re application of

Yasushi IMAMURA et al.

Serial No. 09/786,482

Filed May 15, 2001

DIGITAL SIGNAL PROCESSOR
[Corresponding to PCT/JP00/04475
Filed July 5, 2000]



2005 JUL -8 PM 1:21

Mail Stop: ACCOUNTING DIVISION
REFUND BRANCH

Attorney Docket No. 2001_0244A
Confirmation No. 1350

REQUEST FOR REFUND

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a refund of \$180 charged to Deposit Account No. 23-0975 on February 15, 2005. The corresponding fee code indicates the charge is for an Information Disclosure Statement (IDS) submitted on November 30, 2004. Applicants assert that this charged fee is incorrect.

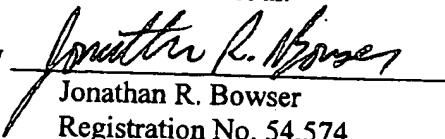
The November 30, 2004 IDS was submitted after the period specified in 37 CFR 1.97(b) but before the mailing date of a final Office Action, Notice of Allowance, or any other action which otherwise closes prosecution on the merits. Under the provisions of 37 CFR 1.97(c), when the certification of 37 CFR 1.97(e) is provided with the IDS, the \$180 IDS fee is not required. The requisite certification of 37 CFR 1.97(e) was clearly provided in paragraph 2 of the November 30, 2004 IDS, a copy of which is submitted herewith. Accordingly, no charge was necessary for the November 30, 2004 IDS.

Kindly credit the refund of \$180 to the deposit account of undersigned, no. 23-0975.

Respectfully submitted,

Yasushi IMAMURA et al.

By


Jonathan R. Bowser
Registration No. 54,574
Attorney for Applicants

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March 8, 2005

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 1350**
Yasushi IMAMURA et al. : **Docket No. 2001_0244A**
Serial No. 09/786,482 : **Group Art Unit 2126**
Filed May 15, 2001 : **Examiner Lewis A. Bullock, Jr**

DIGITAL SIGNAL PROCESSOR

INFORMATION DISCLOSURE STATEMENT

COPY

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ [X] each U.S. Patent and U.S. Patent application publication;
- ☐ [] each reference previously cited in the international application PCT/_____; and/or
- ☐ [] each reference previously cited in prior parent application Serial No. _____.

1a. ☐ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

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and thus no certification and/or fee is required.

- 1b. ☒ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☒ the certification of paragraph 2 below is provided, or
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☒ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

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4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☒ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.
6. ☐ Statement Under 37 CFR 1.704(d)

Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart application, and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Yasushi IMAMURA et al.

By Thomas D. Robbins
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November 30, 2004



UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 1350

Yasushi IMAMURA et al. : Docket No. 2001_0244A

Serial No. 09/786,482 : Group Art Unit 2126

Filed May 15, 2001 : Examiner Lewis A. Bullock, Jr

DIGITAL SIGNAL PROCESSOR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____

1a. ☐ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

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Adjustment date: 08/16/2005 SDIRETA2
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